# Assembling Heterogeneous Domain Adaptation Methods for Image Classification

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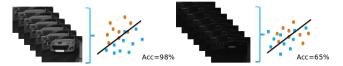


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## **Domain Adaptation At Xerox**

#### Transportation, image-based solutions

- Adapt learning components under data distribution change, without a costly re-annotation
- Changes caused by scene illumination, view angle, background
  - Daylight to night, from inside to outside
  - From one parking to another, other cameras, etc.





## ImageCLEF'14 Domain Adaptation Challenge

#### Domain adaptation scenario:

- Multiple source domains
- Same labels between the source and the target domains
- Limited number of annotated data in the target domain

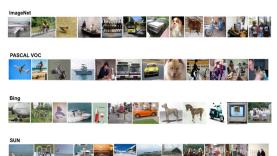


#### Sources:

- Caltech (C)
- ImageNet (I)
- Pascal (P)
- Bing (B)

► Target:

• SUN (S)



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#### Challenge setup

- 12 common classes:
  - airplane, bike, bird, boat, bus, car, ...
- No access to images
- BOV features provided only
  - 600 labeled features from each source (C, I, P, B)
  - 60 labeled and 600 unlabeled features from target (S)
- Source and target domains are semantically relevant but different
- Target feature distribution changed between phases 1/2

Build a recognition system for target domain by leveraging the knowledge from source domains



- 1. Assembling Heterogeneous Methods
- 2. Domain Adaptation by Instance Transfer
- 3. Domain Adaptation by Space Transformation
- 4. Ensemble Methods
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## Domain adaptation methods

#### **Instance Transfer**

- Instance weighting in source domain (Dai *et al.* 2007, Xu 2012)
- Selecting landmarks in source domain (Gong 2013)
- Feature Space Transformation
  - Unsupervised transformation of domains
    - based on PCA projections (Gopalan *et al.* ICCV11, Gong *et al.* CVPR12, Fernando *et al.* ICCV13, Baktashmotlagh *et al.* ICCV13)
  - Learning transformation by exploiting class labels
    - based on metric learning (Zha et al. IJCAI09, Saeko et al. ECCV10, Kulis et al. CVPR11, Hoffman et al. ECCV12)
    - Some methods exploit unlabeled target instances (*e.g.* Duan *et al.* CVPR09, Saha *et al.* ECML11, Tomassi and Caputo ICCV13)



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### **XRCE** approach

- Individual methods
  - Brute force: SVM cross validation with all combinations
  - Instance Weighting: Instance transfer from sources to target domain using Boosting trick
  - Space transformation: metric learning-based domain adaptation to push together the same-class instances from different domains
- Ensemble techniques to aggregate individual predictions











#### **Brute Force**

- $N_{SC} = 2_S^N 1 = 15$  source combinations  $SC_i$ ,
- For each source combination SC<sub>i</sub>:
  - concatenate the target train set  $\mathcal{T}_l$  with sources  $SC_j$
  - train SVM in a cross validation
- Multi-class SVM
  - one kernel and same parameters for all classes
- Binarised one-against-all SVM
  - The best classifier for each class c<sub>j</sub>
  - A specific set of parameter values, kernels and source combinations
  - For an unseen sample **x**<sub>*i*</sub>, take the classifier with the highest confidence

$$\hat{y}_{\text{bsvm}} = \operatorname*{argmax}_{c_j \in Y} f^{c_j}_{\text{bsvm}}(\mathbf{x}_i).$$



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#### Instance Transfer with AdaBoost

- Transfer AdaBoost is an extension of Adaboost to Transfer learning
- boost the accuracy of a weak learner by carefully adjusting the weights of training instances and to learn a classifier
- In TrAdaboost:
  - Target training instances are weighted as in AdaBoost
  - Source training instances are weighted differently
  - · Wrongly predicted source instances are the most dissimilar
  - Their weights decrease to weaken their impact



#### Transfer Adaptive Boosting with one source

**Require:** Target training set  $T_t = (X_t, Y)$ ; source training set  $T_s = (X_s, Y)$ ; *Learner*, the number of iterations *M*.

**Ensure:** Target learner  $f : X_t \rightarrow Y$ .

1: Initial weights: 
$$\mathbf{w}_{T}^{1} = (w_{t_{1}}^{1}, \dots, w_{t_{N_{t}}}^{1}), \mathbf{w}_{S}^{1} = (w_{s_{1}}^{1}, \dots, w_{s_{N_{s}}}^{1}),$$

2: Set 
$$\mathbf{w} = (\mathbf{w}_T, \mathbf{w}_S), \beta = 1/(1 + 2\sqrt{\ln N_t/M}) \text{ and } T = (T_t, T_s).$$

4: Normalize 
$$\mathbf{w}^r = \mathbf{w}^r / |\mathbf{w}^r|$$
.

5: Call *Learner* on the training set T with 
$$\mathbf{w}^r$$
 to find  $f_r : X \to Y$ 

6: Calculate error of  $h_r$  on  $T_t$ :

$$\epsilon_r = \min\left(\frac{1}{2}, \frac{1}{\sum_{i=1}^{N_t} w_{t_i}^r} \sum_{i=1}^n w_{t_i}^r \cdot \llbracket f_r(\mathbf{x}_i^t) \neq y_i \rrbracket\right).$$

- 7: Set  $\beta^r = 1/2 \log((1 \epsilon_r)/\epsilon_r)$ ;  $\Gamma^r = 2(1 \epsilon_r)$ .
- 8: Update the weight vectors:

$$\begin{array}{ll} w_{s_j}^{r+1} &= \mathsf{\Gamma}^r w_{s_j}^r \exp(-\beta \left[\!\!\left[ f_r(\mathbf{x}_j^s) \neq y_j \right]\!\!\right]), & \mathbf{x}_j^s \in X_s, \\ w_{t_i}^{r+1} &= w_{t_i}^r \exp(2\beta^r \left[\!\!\left[ f_r(\mathbf{x}_i^t) \neq y_i \right]\!\!\right]), & \mathbf{x}_i^t \in X_t. \end{array}$$

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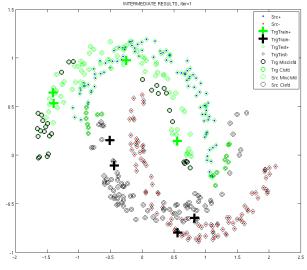
#### 9: end for

10: Output the aggregated estimate  $f_{tra}(\mathbf{x}) = \left(\sum_{r=1}^{M} \beta^r f_r(\mathbf{x})\right)$ .



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#### Transfer Adaptive Boosting: Two moons



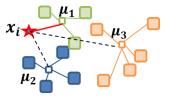


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### The Nearest Class Mean (NCM) classifier<sup>1</sup>



The NCM assigns an image to the closest class mean:

$$\mu_{c} = \frac{1}{|\{\boldsymbol{x}_{i}|y_{i} = c\}|} \sum_{\boldsymbol{x}_{i} \in \{\boldsymbol{x}_{i}|y_{i} = c\}} \boldsymbol{x}_{i}$$

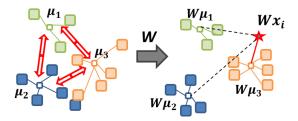
Can be seen as the posterior of a GMM with  $w_c = \frac{1}{N_c}$  and  $\Sigma = I$ :

$$p(c|\mathbf{x}_i) = \frac{w_c p(\mathbf{x}_i|c)}{\sum_{c'=1}^{N_c} w_c' p(\mathbf{x}_i|c')} = \frac{w_c \mathcal{N}(\mathbf{x}_i, \mu_c, \mathbf{I})}{\sum_{c'=1}^{N_c} w_c' \mathcal{N}(\mathbf{x}_i, \mu_{c'}, \mathbf{I})}$$

<sup>1</sup> T. Mensink, J. Verbeek, F. Perronnin and G. Csurka, Distance-based image classification: Generalizing to new classes at near zero cost. PAMI 35(11), 2013



## ML for NCM<sup>2</sup>



Learning a projection  $\boldsymbol{W}$  that maximizes the NCM accuracy:

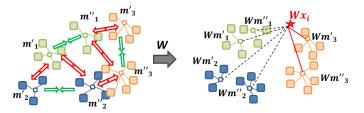
$$p(c|\mathbf{x}_i) = \frac{w_c \mathcal{N}(\mathbf{W}\mathbf{x}_i, \mathbf{W}\boldsymbol{\mu}_c, \boldsymbol{\Sigma})}{\sum_{c'} w_c' \mathcal{N}(\mathbf{W}\mathbf{x}_i, \mathbf{W}\boldsymbol{\mu}_{c'}, \boldsymbol{\Sigma})} = \frac{\exp\left(-\frac{1}{2}d_{\mathbf{W}}(\mathbf{x}_i, \boldsymbol{\mu}_c)\right)}{\sum_{c'} \exp\left(-\frac{1}{2}d_{\mathbf{W}}(\mathbf{x}_i, \boldsymbol{\mu}_{c'})\right)}$$

where  $d_{\boldsymbol{W}}(\boldsymbol{x}_i, \mu_c) = \|\boldsymbol{W}(\boldsymbol{x}_i - \mu^c)\|^2$  and  $\boldsymbol{\Sigma} = (\boldsymbol{W}^{\top} \boldsymbol{W})^{-1}$ .



<sup>&</sup>lt;sup>2</sup>T. Mensink *et al.*, Distance-based image classification, PAMI 2013

## The Nearest Class Multiple Centroids (NCMC)<sup>3</sup>



It extends the NCM by considering multiple centroids  $m_c^{i}$  per class.

The model becomes a mixture of GMMs:

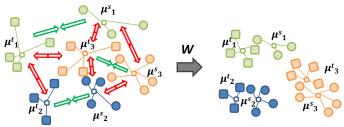
$$p(c|\mathbf{x}_i) = \frac{w_c \sum_j w_j \mathcal{N}(\mathbf{W}\mathbf{x}_i, \mathbf{W}\mathbf{m}_c^j, \mathbf{\Sigma})}{\sum_{c'} w_c' \sum_j w_j \mathcal{N}(\mathbf{W}\mathbf{x}_i, \mathbf{W}\mathbf{m}_{c'}^j, \mathbf{\Sigma})},$$

with  $w_c = \frac{1}{N_c}$  and  $w_j = \frac{1}{N_j}$  and shared  $\Sigma = (\boldsymbol{W}^\top \boldsymbol{W})^{-1}$ .



<sup>&</sup>lt;sup>3</sup>T. Mensink *et al.*, Distance-based image classification, PAMI 2013

#### Domain Specific Class Means (DSCM)



Mixture of GMM:

$$p(c|\mathbf{x}_i) = \frac{\sum_d w_d \mathcal{N}(\mathbf{W}\mathbf{x}_i, \mathbf{W}\mu_d^c, \mathbf{\Sigma})}{\sum_{c'} \sum_d w_d \mathcal{N}(\mathbf{W}\mathbf{x}_i, \mathbf{W}\mu_d^{c'}, \mathbf{\Sigma})} = \frac{\sum_d w_d \exp\left(-\frac{1}{2}d_{\mathbf{W}}(\mathbf{x}_i, \mu_d^c)\right)}{\sum_{c'} \sum_d w_d \exp\left(-\frac{1}{2}d_{\mathbf{W}}(\mathbf{x}_i, \mu_d^c)\right)}$$

#### with

- domain-specific class means  $\mu_d^c$ , instead of clustering.
- domain-specific weights  $w_d$ , instead of  $\frac{1}{N_d}$ .



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#### Heterogeneous set of classifiers

Combine outputs of multiple classifiers of 3 different types

- ▶ Pool *F* of classifiers *F* = {*f*<sub>1</sub>,..., *f*<sub>N<sub>f</sub></sub>}, with class scores/ probabilities
- Unweighted majority voting (UMV)

$$c^* = \operatorname{argmax}_{c \in Y} \sum_{f_k \in F} \llbracket g_k(f_k, \mathbf{x}_i^t) = c \rrbracket$$

In probabilistic setting, the class with the highest probability:

$$c^* = \operatorname{argmax}_{c \in Y} \sum_{f_k \in F} P(y_i = c | f_k(\mathbf{x}_i^t))$$

 Weighting majority voting (WMV), weights proportional to classifier's accuracy

$$P(y_{i} = c | \mathbf{x}_{i}^{t}) = \frac{\prod_{c'' \in Y} P(y_{i} = c | g(f_{k}, \mathbf{x}_{i}^{t}) = c'')}{\sum_{c' \in Y} \prod_{c'' \in Y} P(y_{i} = c' | g(f_{k}, \mathbf{x}_{i}^{t}) = c'')}$$

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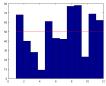


#### **Evaluation setup**

- Test individual and ensemble methods on phase 1
- Select best strategies to apply on phase 2
- Divergence measure:
  - Deviation of prediction vector from equi-weighted class vector

$$div = \sum_{c \in Y} \left| \operatorname{Card}(\{i | g(f, \mathbf{x}_i^t) = c\}) - \frac{N}{N_c} \right|$$

- *N* is number of test images, *N<sub>c</sub>* is the number of classes
- $\{i|g(f, \mathbf{x}_i^t) = c\}$  is target instances with predicted c



Under equal class assumption, minimize the divergence.



#### **Challenge Results**

Place	Score	Acc	Run Name	Divgrc	Comment
1	228	38.0	combin6_Np20	108	UMV
2	228	38.0	combin3_Np18	108	UMV
3	226	37.67	combinAll6_Np19	164	UMV
4	217	36.17	combin6A_Np19	78	UMV + min div
5	214	35.67	MLNCM_MLDA_128	174	ML
6	212	35.33	combinAll7A_Np19	134	WMV
7	208	34.67	combin8A_Random_Np25	78	WMV + min div
8	185	30.83	MLNCMC_ML_128	168	ML
9	182	30.33	combin2_Np10	134	TrA+UMV
10	158	26.33	svmBoost_Mul_Power_f60	186	TrA

Table: Ten runs submitted by XRCE team.



## Submission analysis

Individual DA methods

- Brute force performed poorly as expected, but but participated in various ensembles
- TrAdaboost and Metric Learning performed reasonably well

Ensembles of heterogeneous classifiers

- Is a right strategy
- Unweighted majority vote (UMV) on a small selection of classifiers performed the best
- Weighted majority vote (WMV) works well on large sets of classifiers but underperforms against the UMV
- Divergence minimization did not play any important role



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### Conclusion

Using heterogeneous methods for domain adaptation is a right strategy

- Image classification in target domain can benefit a knowledge transfer from source domains
- Ensembles of heterogeneous classifiers with different majority votings yield the high accuracy
- Won the ImageCLEF Domain Adaptation competition
- New directions
  - Semi-supervised Learning in target domain
  - Both Instance Reuse and Metric Learning

